

COUNTY OF ORANGE

PROBATION



REQUEST FOR PROPOSAL (RFP)

FOR

ACTIVE RECIDIVISM REDUCTION INITIATIVE VIA ENGAGEMENT

(ARRIVE)

RFP No. RFP-057-3105004-MM

RESPONSES MUST BE SUBMITTED ELECTRONICALLY TO:

<https://secure.procurenow.com/portal/ocgov>



County of Orange
Probation
Procurement and Contract Services
1055 N. Main Street, 5th Floor
Santa Ana, CA
92701

**PROPOSALS MUST BE RECEIVED ON
OR BEFORE**

Tuesday, October 27, 2026

3:00 pm

SCHEDULE OF ACTIVITIES

Release of RFP Solicitation	September 11, 2026
Pre-Submittal Meeting and Site Visit (Non-Mandatory)	September 29, 2026, 10:00am A non-mandatory Pre-Proposal Meeting will be held on September 29, 2026, at 10:00 a.m. via Microsoft Teams. Please e-mail Michael Macias at Michael.Macias@prob.ocgov.com to reserve a space, by 3:00 p.m. September 28th, 2026.
Question Submission Deadline	October 13, 2026, 3:00pm
RFP Submittal Closing	October 27, 2026, 3:00pm

SECTIONS

SECTION 1.	INTRODUCTION AND INSTRUCTIONS TO RESPONDENTS
SECTION 2.	PROTEST PROCEDURES
SECTION 3.	SCOPE OF WORK
SECTION 4.	SCORING CRITERIA
SECTION 5.	SUPPLIER RESPONSES
SECTION 6.	COST PROPOSAL
SECTION 7.	OCLSB AND DVBE PREFERENCE POLICIES CERTIFICATION REQUIREMENTS

SECTION 1. INTRODUCTION AND INSTRUCTIONS TO RESPONDENTS

1.1 INTRODUCTION

The County intends that the successful Respondent shall provide Active Recidivism Reduction Initiative Via Engagement (ARRIVE) proposed in accordance with contract requirements set forth in the solicitation and Model Contract, including Attachments.

1.2 COUNTY HOLIDAYS DURING SOLICITATION PERIOD

During this solicitation period, the Probation will be closed on the following County holidays - <https://hrs.oc.gov/employee-relations/employee-resources>

1.3 INSTRUCTIONS TO RESPONDENTS AND PROCEDURES FOR SUBMITTAL

A. Responses are to be uploaded via the County's eProcurement Portal at <https://procurement.opengov.com/portal/ocgov>. For assistance on uploading Response via County's eProcurement Portal, please contact procurement-support@opengov.com or <https://help.procurement.opengov.com/en/>

B. Examine the Contract Documents and the site of the proposed work carefully before submitting a Response for the work contemplated. Investigate the conditions to be encountered, as to the character, quality, and quantities of work to be performed and materials to be furnished and as to the requirements of all Contract Documents. The County has attempted to provide all information available. It is the responsibility of each Respondent to review, evaluate, and, where necessary, request any clarification prior to submission of a Response. If any person contemplating submitting a response to this Request for Proposal (RFP) is in doubt as to the true meaning of any part of the solicitation documents attached hereto or finds discrepancies in or omissions from the specifications, they may submit a written request for clarification/interpretation to the County Deputy Procurement Agent (DPA) via the County's eProcurement Portal under the bid page for this solicitation.

If clarification or interpretation of this solicitation is considered necessary by County, an addendum shall be issued, and the information will be posted via the County's eProcurement Portal. Any interpretation of, or correction to, this solicitation shall be issued by the DPA. It is the responsibility of each Respondent to periodically check the County's eProcurement Portal to ensure that they have received and reviewed any and all addenda to this solicitation.

The County will not be responsible for any other explanations, corrections to, or interpretations of the documents, including any verbal information. If an addendum is issued, Respondents must acknowledge the addendum via the County's eProcurement Portal.

All questions or requests for interpretations must be received within this solicitation by Tuesday, October 13, 2026, before 3:00 pm. The DPA will provide all official

communication concerning this solicitation. Any County response relevant to this solicitation other than through or approved by the DPA is unauthorized and will be considered invalid.

- C. Responses must be valid for a period of at least three hundred sixty-five (365) calendar days from the closing date and time of receipt. No Response may be withdrawn after the submission date.
- D. Each Respondent must submit their response electronically via the County's eProcurement Portal.
- E. All pages must be submitted on standard 8.5 x 11-inch sized document, numbered and identified sequentially by section. Responses must be organized and indexed as outlined in the Response Requirements Section. It is imperative that all Respondents comply, exactly and completely, with the instructions set forth herein. All responses to this solicitation shall be type written or word-processed (except where otherwise provided or noted), concise, straightforward, and must fully address each requirement and question. Although not as a substitute for a complete written response, additional material, such as technical documents, may be referenced in any response if the material is included in the same section as additional information.
- F. **Responses are not to be marked as confidential or proprietary.** The County may refuse to consider any Responses so marked. All Responses and supporting documents will be subject to the provisions of the California Public Records Act (California Code Government Code 7920.000 et seq.) (PRA) and will be disclosed or withheld in accordance therewith. The County shall not be liable in any way for disclosure of any such records. Additionally, all Responses shall become the property of the County.

Respondents should not request that certain information be treated as exempt, and statements in the Responses should not be marked as confidential or proprietary. In the event that any information is marked as confidential or proprietary, as it may be absolutely necessary, Respondents have the sole responsibility of obtaining any applicable injunctive reliefs to prevent the disclosure of such confidential proprietary information in connection with any request made to County pursuant to PRA or a subpoena for disclosure of such information.

- G. Each Respondent shall exercise reasonable care and diligence to avoid submitting a Response that could result in a conflict of interest if Respondent were to be selected. This obligation shall apply to the Respondent, the Respondent's employees, agents, and relatives, sub-contractors, and third parties associated with accomplishing work and services in response. In the event Respondent has done work for the County on this Project

in the past or has reason to believe that a conflict of interest may exist for Respondent in regard to this Project, Respondent should consult with its legal counsel prior to responding to this solicitation. Any Respondent who is found to have an actual conflict of interest may have its response rejected on that ground. Respondent, its employees and/or consultants may be subject to the provisions of the California Political Reform Act of 1974 (the “Act”), which (1) requires such persons to disclose any financial interest that may be materially affected by services provided under this Contract, (2) prohibits such persons from making, or participating in making, decisions that could reasonably affect such interest; and (3) may require the filing a Statement of Economic Interest (Form 700). If subject to the Act, Respondent shall conform to all requirements of the Act. Failure to do so shall constitute a material breach and is grounds for immediate termination of the Contract by County. Respondent shall indemnify and hold harmless County for any and all claims for damages resulting from Respondent’s violation of this Section.

- H. By submitting a response, the Respondent represents that it thoroughly examined the County’s requirements, is familiar with the services required under this solicitation and is qualified and capable of providing the services to achieve the County’s objectives.
- I. Each Respondent must submit its response in strict accordance with all requirements of this solicitation and compliance must be stated in the response. **Deviations, clarifications and/or exceptions must be clearly identified and listed separately as alternative items on additional information section for the County’s consideration as specified in the Response Requirements Section, “Statement of Compliance.”**
Note: Allow sufficient time to upload all required files. The County’s eProcurement Portal will not allow any uploads after the due date and time specified herein, e.g., if Part 1 and 2 uploaded successfully at 1:58:38 P.M. and Part 3 is in progress of being uploaded at 2:00:01 P.M., Part 3 will not upload successfully.
- J. Pre-contractual expenses are not to be included in the Cost Proposal, Attachment B, Pricing and Compensation. Pre-contractual expenses are defined as including, but not limited to, expenses incurred by the Respondent in: a) preparing the response to this solicitation; b) submitting that response to the County; c) negotiating with the County any matter related to the Respondent’s response; and d) any other expenses incurred by the Respondent prior to the date of award and execution, if any, of the Contract.
- K. Any response may be construed as non-responsive and ineligible for consideration if it does not comply with the requirements of the solicitation, including but not limited to technical features, acknowledgment of receipt of addenda, and page number limits, etc. These are common causes for deeming a response non-responsive.

- L. Where two or more Respondents desire to submit a single response to this solicitation, they must do so on a prime/subcontractor basis rather than as a joint venture. The County intends to contract with a single firm that may subcontract with multiple firms (team) but not with multiple firms doing business as a joint venture.
- M. County does not require and neither encourages nor discourages the use of lobbyists or other consultants for the purpose of securing business.
- N. **The County requires a valid D-U-N-S number prior to Contract Award. If needed, your company may obtain one at no cost at www.dnb.com. If you are unable to provide/obtain a D-U-N-S number, please indicate so in your submission response.**
- O. **The County requires a valid UEI number and full registration prior to Contract Award. Your company must obtain one at no cost at www.usfcr.com. If you are unable to provide/obtain a UEI number, please indicate so in your submission response.**
- P. Effective from the issuance date of this solicitation until a Contract is awarded or the solicitation is withdrawn, all individuals or entities responding—including their employees, agents, representatives, proposed partners, subcontractors, members, lobbyists, or attorneys (collectively "Respondents")—are prohibited from engaging in any direct or indirect communication with County personnel involved in the selection process, except with the designated Deputy Procurement Agent (DPA). Respondents may continue conducting business with the County on matters unrelated to this solicitation and may communicate with County staff, provided the solicitation is not discussed. Any attempt to engage in discussion regarding this solicitation with anyone other than the designated DPA will be presumed to create an unfair competitive advantage and may result in disqualification.
- Q. After the closing date and time for receipt of Responses, evaluation, and if requested by the County, in-person interviews will commence. Respondents who submit Responses most responsive to the County's requirements may be asked to give a presentation of their response to County staff. The County makes no guarantee as to the number of Respondents selected for in-person interviews. Selected Respondents should be prepared to make its presentation within five (5) business days after notification and be prepared to discuss all aspects of its response in detail, including technical questions regarding the response. Respondents shall not be allowed to alter or amend its response through the use of the presentation process.

County Deputy Procurement Agent (DPA) for this solicitation is: Michael Macias,
michael.macias@prob.oc.gov

1.4 COMMUNICATION

Bidders are not to contact other County personnel with any questions or clarifications concerning this solicitation. **All questions regarding this solicitation must be submitted via the Question & Answer section of this solicitation** within the County's eProcurement Portal at: <https://procurement.opengov.com/portal/ocgov>. Any County response relevant to this solicitation other than through or approved by County is unauthorized and will be considered invalid.

County's Deputy Procurement Agent, Michael Macias, michael.macias@prob.oc.gov, will provide all official communication concerning this solicitation.

1.5 MINIMUM QUALIFICATIONS/REQUIREMENTS

Respondent's shall meet the following minimum requirements and qualifications to proceed to the evaluation process. Failure to have the ability to, upon County request, provide any of the items requested below may result in disqualification of your response. These qualifications must be maintained during the term of any resultant Contract.

- A. Respondent must have a minimum five (5) years of consecutive, recent experience developing, implementing and supporting Active Recidivism Reduction Initiative via Engagement (ARRIVE) services.
- B. Must have the ability to do business in Orange County, California; (standard minimum qualification)
- C. Complete all required Vendor Response questions of the solicitation;
- D. Be able to comply and remain within the proposed annual budgets that reflect Proposer's Program costs for the initial one-year term of this agreement, as well as the succeeding four one-year terms in the event that this contract is renewed.

1.6 EVALUATION PROCESS

Responses deemed to meet all minimum solicitation requirements will be scored based on the established evaluation criteria, and will be assigned points that measure the responsiveness to each identified criterion. The total number of points earned will be tallied for each response, based upon the Respondent(s) submitted written materials.

Responses shall be evaluated by an Evaluation Panel of job knowledge experts on the basis of the responsiveness to the requirements in this RFP. The County may request clarifications, or otherwise verify the contents of the response, including information about the Respondent, Contractors and sub-contractors.

The scoring is based on evaluation of the response as measured against the Scoring Criteria, which includes County of Orange Procurement Preference Policy, in accordance with Sections 1.8-103 and 4.3-112 of the 2026 County Contract Policy Manual (CPM). A copy of the 2026 CPM can be

found at <https://cpo.oc.gov/cpm>. Responses will be evaluated from the most advantageous to the least advantageous to the County.

1.7 SELECTION/AWARD PROCEDURES

Upon the completion of the evaluation process, the Evaluation Committee will make a recommendation for an award to the County's DPA. Final award determination shall be subject to reference checks and past performance.

The Model Contract contained herein this RFP is the Contract proposed for execution. It may be modified to incorporate negotiated items and other pertinent terms and conditions set forth in this RFP, including special conditions and requirements and those added by addendum, necessary attachments and/or exhibits, and to reflect Respondent's response and qualifications. Any additional exceptions to the terms and conditions made by any Respondent after submission of its response may result in its elimination from further consideration.

Exceptions to the terms and conditions of the Model Contract or the statements regarding Respondent's inability to comply with any of the provisions thereof are to be declared in the response to the RFP in SUPPLIER RESPONSES - Statement of Compliance.

Negotiations may or may not be conducted with the finalist(s); therefore, the response submitted should contain Respondent(s) most favorable terms and conditions, since the selection and award may be made without further discussion or need for clarification. Any additional exceptions to the terms and conditions made by any Respondent(s) after submission of its response may result in elimination from further consideration.

The County will enter into negotiations with the selected Respondent and award a contract upon completion of negotiation of fees and contract terms. The award requires approval(s) by the County Board of Supervisors.

If a satisfactory Contract cannot be negotiated in a timely manner, County, in its sole discretion, may terminate negotiations with the selected Respondent and begin negotiations with the next Respondent.

1.8 RIGHTS RESERVED TO COUNTY

The County reserves the right to:

- A. Make use of any information or ideas in the responses submitted.
- B. Request response revisions and hold discussions and negotiations with any Respondent as necessary to serve the best interests of the County.
- C. Seek publicly available information about the Respondents.
- D. Conduct, or not to conduct, in-person interviews and/or presentations with the highest-scored Respondent(s).
- E. Waive, at its discretion, any procedural, non-material defects, irregularity, or informality, which County deems correctable or otherwise not warranting rejection of the response that do not result in any unfair competitive advantage. Any waiver will not excuse a proponent from full compliance.

- F. Verify and validate any information prior to Contract Award and during the entire term of the Contract.
- G. Negotiate the final Contract with any Respondent(s), including pricing/fee schedule, as necessary to serve the best interests of the County;
- H. Withdraw or cancel in part or in its entirety this solicitation at any time without prior notice and furthermore makes no representations that any Contract will be awarded to any Respondent responding to this solicitation;
- I. Award its total requirements to one Respondent or to apportion those requirements among two or more Respondents as the County may deem to be in its best interests;
- J. Reject any response if it is conditional, incomplete or deviates significantly from the services requested in this solicitation; and/or
- K. Request Best and Final Offer from any Respondent determined to be within the competitive range. Unless requested by the County, late Best and Final Offers will not be accepted by the County for any reason. In addition, negotiations may or may not be conducted with Respondents.
- L. Make multiple awards to Respondent(s) for services requested in this solicitation, at its sole discretion, to serve the best interests of the County.
- M. Defer award of this Contract for a period of 180 days after the solicitation opening. During this period, Respondent shall guarantee the prices and terms quoted in their responses. The County and the responsive, responsible Respondent may mutually agree in writing to extend this deferral period.

Therefore, responses submitted shall contain the Respondent's most favorable terms and conditions, since the selection and award may be made without discussion with any Respondent.

1.9 TITLE VI SOLICITATION NOTICE

The County of Orange, in accordance with the provisions of the Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 USC §§ 2000d to 2000d-4) and the Regulations, hereby notifies all Respondents that it will affirmatively ensure that any contract entered into pursuant to this advertisement, will be afforded full and fair opportunity to submit response to this solicitation and will not be discriminated against on the grounds of race, color, or national origin in consideration for an award.

1.10 DEPARTMENT OF THE TREASURY, INTERNAL REVENUE SERVICE FORM W-9/W-8 REQUIREMENTS

Effective June 3, 2006, all Contractors, entering into a Contract with County, who are not already established in Countywide ERP System as an Auditor-Controller supplier, will be required to submit to County a federal Form W-9, or form W-8 for foreign suppliers. County will inform Contractor, at the time of award, if the Form W-9 or W-8 will be required.

In order to comply with this County requirement, within ten (10) days of notification of selection of award of Contract but prior to official award of Contract, the selected Contractor agrees to furnish to Contract administrator, or the Deputy Procurement Agent (DPA) the required W-9 or W-8.

1.11 CAMPAIGN CONTRIBUTION DISCLOSURE REQUIREMENT

Effective January 1, 2023, all contractors entering into a contract with the County, will be required to submit the Campaign Contribution Disclosure Form for any contributions made on or after January 1, 2023. In order to comply with this requirement, Respondent agrees to furnish the required Campaign Contribution Disclosure Information form as a required submittal with its response. Failure to include the form as required will render the response nonresponsive.

SECTION 2. PROTEST PROCEDURES

Any actual or prospective bidder or respondent who alleges an error or impropriety in the solicitation or award of a contract may submit a grievance or protest to Michael Macias at michael.macias@prob.oc.gov, as set forth herein.

PROTEST PROCEDURES

All protests shall be typed under the protestor's letterhead and submitted in accordance with the provisions stated herein. All protests shall include at a minimum the following information:

- A. The name, address, and telephone number of the protestor;
- B. The signature of the protestor or the protestor's representative;
- C. The solicitation or contract number;
- D. A detailed statement of the legal and/or factual grounds for the protest; and,
- E. The form of relief requested.

Protest of Solicitation Specifications:

- A. All protests related to solicitation specifications must be submitted to the **Deputy Procurement Agent (DPA), Michael Macias** at michael.macias@prob.oc.gov, no later than five (5) business days prior to the Closing Date of the solicitation. Protests received after the five (5) business-day deadline will not be considered by the County.
- B. In the event the protest of specifications is denied, and the protestor wishes to continue in the solicitation process, the protestor must still submit a bid/response prior to the close of the solicitation in accordance with the solicitation submittal procedures provided in this solicitation.

Protest of Award of Contract:

- A. Protests related to the award of a contract must be submitted to the **Deputy Procurement Agent, Michael Macias** at michael.macias@prob.oc.gov, no later than five (5) business days after the Notice of Solicitation Results is provided by the DPA.
- B. Protests relating to a proposed contract award received after the five (5) business day deadline will not be considered by the County.

- C. If the five (5) business day period expires without the receipt of a protest, the department may move forward with the contract award or if necessary, file the item for approval by the Board of Supervisors.

Protest Process

- A. In the event of a timely protest, the County shall not proceed with the solicitation or award of the contract until the DPA issues a decision on the protest.
- B. Upon receipt of a timely protest, the DPA will, within ten (10) business days of receipt of the protest, issue a decision in writing which shall state the reasons for the actions taken.
- C. The County may, after providing written justification, make the determination that an immediate award of the contract is necessary to protect the substantial interests of the County. The award of a contract shall in no way compromise the protester's right to the protest procedures outlined herein.
- D. If the protestor disagrees with the decision of the DPA, the protestor may submit a written appeal to the County Procurement Officer requesting an appeal to the Procurement Appeals Board, in accordance with the process stated below.

APPEALS PROCESS

- A. If the protestor wishes to appeal the protest decision of the Deputy Procurement Agent, the protestor must submit, within three (3) business days from receipt of the Deputy Procurement Agent's decision, a written appeal to the Office of the County Procurement Officer.

Written appeals must be sent by mail to the address below with a courtesy copy by email;

County of Orange/County Executive Office

County Procurement Office

400 W. Civic Center Drive, 5th Floor

Santa Ana, CA 92701

Attn: County Procurement Officer

CPOAppeals@ceo.oc.gov

- B. Within fifteen (15) business days, the County Procurement Officer will review all materials in connection with the appeal, assess the merits of the protest and provide a written determination that shall contain his or her decision on whether the appeal shall be forwarded to the Procurement Appeals Board.

- C. The decision of the County Procurement Officer on whether to allow the appeal to go forward will be final and there shall be no right to any administrative appeals of this decision.

SECTION 3. SCOPE OF WORK

3.1 SCOPE OF WORK

A. Population to be Served

1. Contractor shall provide services under the Active Recidivism Reduction Initiative via Engagement (ARRIVE) program to youth referred by Probation.
2. Participants include youth and their parent(s)/caregiver(s) who are subject to a California Welfare and Institutions Code (WIC) 602 petition and who are at high risk to reoffend criminally or violate the terms and conditions of probation.

Youth assessed as high risk to reoffend or violate the terms of their probation will exhibit behaviors consistent with criminal thinking, trauma, substance abuse, school issues/learning disabilities, and demonstrate behavior that indicates they are beyond the control of parent(s) or primary caregiver(s). Participants will typically not require the comprehensive services provided by Full-Service Programs such as WRAP OC or have a primary diagnosis of a mental health disorder.

B. Service Standards

1. Provide individual and group services for the youth and parent(s)/caregiver that target the reduction of criminogenic risk factors and support successful family systems including, but not limited to: parent empowerment/resilience training, substance abuse/relapse prevention and education, coping skills, and building positive peer relationships.
2. Facilitate biweekly, multi-system meetings with youth, youth's parent(s)/caregiver, youth partner, case manager and probation officer to review progress towards case plan goals.
3. Services will be provided for ARRIVE participants until case plan goals are met or the family has received (6) six months of services, whichever is lesser. A request to extend services an additional 3 months can be submitted to the Assistant Division Director (ADD) of the Juvenile Supervision Division on a case-by-case basis. The Contractor must have approval for service extensions. Failure to do so will result in the associated costs becoming ineligible for reimbursement.
4. Provide staff that are culturally competent and linguistically relevant to the youth and parent caregivers.
5. Staff providing direct services shall have knowledge and training in trauma responsive practices, adolescent brain development and cognitive behavioral interventions.

C. Engagement

1. Upon referral to ARRIVE and within 7 days of acceptance to the program, the provider will begin to develop a case plan by meeting with the youth, the youth's family and probation officer, either face-to-face or by telephone to assess the strength and needs of the youth and the family. Engagement of the youth and family will occur within 14 days of acceptance and throughout participation in the ARRIVE program.

D. Planning

1. Within 45 days, the provider shall develop a written case plan identifying key members of the youth's case planning team, the strengths of the youth and family and identify the most important needs of the family. The plan should identify the needed resources, interventions and level of responsiveness needed to sufficiently address the youth's needs. The plan will be presented to the team; goals, objectives and timelines will be agreed upon and each member will sign the plan. The plan may be updated or modified at any time with the approval of the program team.

E. Implementation

1. Upon establishment of the case plan goals, the plan will be implemented. Progress towards case plan goals will be monitored bi-weekly during provider team meetings. At which time, services and/or resources will be assessed for adequacy and effectiveness.

2. Positive compliance towards meeting case plan goals will be supported with the provision of monetary and non-monetary incentives. The service provider will establish a continuum of incentives to encourage and support positive progress.

F. Transition

1. Upon completion of case plan goals, the service provider will provide transitional/exit planning to the youth and parent(s)/caregivers that may include providing linkages to community-based services and resources for long-term support.

G. Caseload/Program Capacity

1. Ensure a caseload/service capacity of up to 75 youth. Upon mutual agreement between Probation and the contractor, caseload capacity may be adjusted as needed.

2. The service provider will provide a program team and resources that consists of a Case Manager, a youth partner and access to services to include at minimum: parent education, substance abuse and relapse education prevention, positive peer relationships.

3. Services may be provided in a community setting, at a site provided by the contractor.

H. Data collection/Outcomes

1. The service provider will maintain a records of program participants and collect and share data as requested by Probation pertaining to demographics, risk factors, program completion data and outcomes, which will assist in evaluating program effectiveness.

a. Contractor will provide quarterly reports, including, but not limited to:

- Youth referred to programming by Probation
- Youth Participants in programming
- Youth demographics and profiles at service entry (e.g., age, gender, ethnicity)
- City of Residence
 - Outcome Measures of Success and Methods for Measurement (e.g., pre-assessments/post-assessments)
- Youth exiting program (e.g., number of youth who successfully completed or did not complete the program)
- Demographics and profiles of youth who successfully completed the program (e.g. gender, ethnicity)
- Average length of stay in program
 - Demographics and profiles of youth who did not complete the program (e.g., gender, ethnicity) Challenges and solutions (or proposed solutions) for addressing challenges that arise.
 - Success stories
 - Contractor will provide a quarterly report on enrollment and participation to the County's project manager

b. Contractor will submit/transmit reports to Probation on a quarterly basis.

c. Annual Outcomes from pre-assessment and post-assessment comparisons based on the Risk Assessment with a target level at a minimal of 75%: participants who successfully complete the program will report a decrease in their risk level to re-offend. Based on an exit survey, at least 75% of participants will report satisfaction with the provided services. Last, at least 75% of participants will meet the following items: (1) participants will have participated in at least five ARRIVE service components and (2) participants will not have a new application for petition with probation at the end of a 90-day period after successful completion.

SECTION 4. SCORING CRITERIA

Phase 1 - Written Response Scoring: 500 points maximum

Phase 2 - Presentation/Interview Scoring: 400 points maximum

Phase 3 - Cost Proposal: 100 points maximum

4.1 PHASE 1

No.	Evaluation Criteria	Scoring Method	Weight (Points)
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1.	Firm's Background, Qualifications & Experience (150 Possible Points) A. Proposer demonstrates the number of years' experience for which the proposer has provided same or similar services to system involved youth and families. (50 possible points) B. Proposer demonstrates experience and techniques providing evidence-based programing reducing the criminogenic risk factors in youth. (50 possible points) C. Did the Proposer describe current and previous contracts successfully implementing reduction of criminogenic risk factors in youth and supportive case planning services for relative families or caregivers? (50 possible points)	Points Based	150 <i>(30% of Total)</i>
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2.	Staffing (100 Possible Points) A. Proposal includes a staffing plan with job descriptions, licenses/certifications (if any), and minimum qualifications for each type of position, which are likely to produce experienced personnel with the appropriate expertise to achieve program objectives. (50 possible points) B. Proposer will explain how their organization will recruit, hire and train culturally appropriate/relevant staff and describe which staff are required to be bilingual. (20 possible points) C. The staffing pattern/schedule/assignment is reasonable for the services proposed and demonstrates Proposer's ability to efficiently provide services. (15 possible points) D. Proposal includes an organizational chart that identifies clear lines of responsibility/accountability for program management and service delivery. (15 possible point)	Points Based	100 (20% of Total)
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3.	Proposed Services (150 Possible Points) A. Proposal describes how the proposer will implement a client centered, trauma informed case plan including how specific goals and objectives will be identified /achieved. (50 possible points) B. Proposal defines how the proposer will interface with the Probation Department, youth, parents/caregivers and other parties in providing community based, individual and group programing shown to reduce criminogenic risk factors. (40 possible points) C. Proposal describes response for emergency evaluation or crisis intervention when needed. (20 possible points) D. Proposal indicates proposed services are culturally appropriate and linguistically capable. (20 possible points) E. Proposal describes how the program will provide supportive services and access to community resources for parents/caregivers. (20 possible points)	Points Based	150 (30% of Total)
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4.	Outcomes (75 Possible Points) A. Proposer describes how they will measure program effectiveness using self-evaluation. (25 possible points) B. Proposer has demonstrated productivity of a similar program within their organization. (25 possible points) C. The proposal includes a Program Outcomes and Measurement Report(s). This can include tables, charts, spreadsheets, etc. The report will show what type of information your organization will gather to measure the success of the proposed programs. (25 possible points)	Points Based	75 (15% of Total)
5.	Compliance of the County Model Contract (25 Possible Points) A. The proposal fully complies with the County Model contract. (25 possible points) *Note: Respondents submitting exceptions to the County Model Contract attached to this RFP may receive a score of “0” for that criterion	Points Based	25 (5% of Total)

4.2 PHASE 2

No.	Evaluation Criteria	Scoring Method	Weight (Points)
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1.	Presentation/Interview Scoring Format: 400 points maximum: County reserves the right to conduct, or to not conduct, in-person interviews and/or presentations with the highest-scored Respondent(s). The decision whether to conduct in-person interviews/presentations rests solely with County and the decision of the DPA is final. Respondents shall be ready to attend in-person interview within fifteen (15) calendar days of notification. Any inquiry to determine the responsibility of a Respondent to this RFP may be conducted. Respondent agrees that the submission of a Response is permission by Respondent for County to verify all information contained therein. If County believes it necessary, additional information may be requested from Respondent. The County may also send written questions and ask for written responses within five (5) business days. Failure to comply with any such request may disqualify a Respondent from further consideration. Respondents must be prepared to discuss all aspects of their Response in detail, including technical questions. Respondents will not be allowed to alter or amend their Response through the use of the presentation process. <i>NOTE: If interview/presentations are not conducted, the designated points will be reallocated into the written total points.</i>	Points Based	400 (100% of Total)
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4.3 PHASE 3

No.	Evaluation Criteria	Scoring Method	Weight (Points)
1.	Cost Proposal The Response with the lowest total receives the maximum points allowed for this criterion. All other proposals receive a percentage of the points available based on their cost relationship to the lowest-priced Response. <u>NOTE:</u> Cost will be scored based off the lowest total of all 5 years.	Points Based	100 (100% of Total)

SECTION 5. SUPPLIER RESPONSES

Responses must be prepared simply and economically, providing a straightforward, concise description of capabilities to satisfy the requirements of this RFP. Emphasis should be on completeness and clarity of content with sufficient detail to allow for accurate evaluation and

comparative analysis. **Response (Part 3) shall not exceed the 40 Page Maximum Page Limit. No Exceptions.**

Note: Responses that exceed the page limit noted above may be disqualified from further consideration.

Responses are electronically organized into three (3) Parts and are indexed in the order outlined below. Complete all required questions within each part.

Cover Page, Table of Contents, dividers and County forms will not count towards the page limit.

Part 1 - Compliance Statements (will not count against the page limit)

Part 2 - Company Profile & References (will not count against the page limit)

Part 3 - Respondent's Responses

5.1 PART 1: COMPLIANCE STATEMENTS

Some *required questions might not be applicable to your company. Do not leave any required fields blank. Please indicate "N/A" when necessary. A required field that is left blank will prohibit your response from being submitted.

*indicates that it is required.

5.1.1 Validity of Response*

The County requires that all response be valid for at least three hundred sixty-five (365) calendar days. Submissions not valid for at least three hundred sixty-five (365) days will be considered nonresponsive. The Respondent shall state the length of time for which the submitted response shall remain valid below:

Please state 365 calendar Days if your response will be valid for that period of time. If your response will be valid for a different period of time please list the appropriate number of calendar days.

Maximum response length: 100 characters

*Response required

5.1.2 Certification of Understanding*

The County assumes no responsibility for any understanding or representation made by any of its officers, employees or agents during or prior to the execution of any Contract resulting from this solicitation unless:

- A. Such understanding or representations are expressly stated in the Contract; and
- B. The Contract expressly provides that the County therefore assumes the responsibility.

Representations made but not expressly stated and for which liability is not expressly assumed by the County in the Contract shall be deemed only for the information of the Respondent.

Respondent certifies that such understanding has been considered in this response.

☐ Please confirm

*Response required

5.1.3 Minimum Qualifications/Requirements Statement*

The Respondent certifies that it has thoroughly examined the County's requirements and meets all minimum qualifications and requirements set forth in this RFP.

☐ Please confirm

*Response required

5.1.4 Certificate of Insurance *

The Respondent shall certify its willingness and ability to provide the required insurance coverage and certificates as set forth in the Model Contract.

☐ Please confirm

*Response required

5.1.5 Department of the Treasury, Internal Revenue Service Form W-9 Requirements

All Contractors will be required to submit to County a federal Form W-9, or form W-8 for foreign vendors. County will inform Contractor, at the time of award, if the Form W-9 or W-8 will be required.

In order to comply with this County requirement, within ten (10) days of notification of selection of award of Contract but prior to official award of Contract, the selected Contractor agrees to furnish to the agency Deputy Procurement Agent (DPA) the required W-9 or W-8.

You may upload the appropriate form here or comply within the ten (10) days as described above.

5.1.6 Conflict of Interest*

Does the Respondent have an existing relationship with the County, past or current, for any financial or business reasons, or any other reason?

An answer of "NO" shall be considered as Respondent certifying that no relationship exists or has existed as outlined below. An answer of "YES" will allow you to disclose the necessary information to the County.

Respondent with an existing or past relationship with the County, for any reason, shall answer "YES" to this question and disclose:

- A. Any financial, business or other relationship with the County, any other entity that the County Board of Supervisors governs, or any County Board member, officer or employee, which may have an impact, affect or influence on the outcome of the services you propose to provide. Provide a list of current clients, employees, principals or

shareholders (including family members) who may have a financial interest in the outcome of services you propose to provide.

- B. Any financial, business or other relationship within the last three (3) years with any firm or member of any firm who may have a financial interest in the outcome of the work.

☐ Yes

☐ No

*Response required

When equals "Yes"

5.1.7 Conflict of Interest Follow Up Question 1*

Disclose any financial, business or other relationship with the County, any other entity that the County Board of Supervisors governs, or any County Board member, officer or employee, which may have an impact, affect or influence on the outcome of the services you propose to provide. Provide a list of current clients, employees, principals or shareholders (including family members) who may have a financial interest in the outcome of services you propose to provide.

*Response required

When equals "Yes"

5.1.8 Conflict of Interest Follow Up Question 2*

Disclose any financial, business or other relationship within the last three (3) years with any firm or member of any firm who may have a financial interest in the outcome of the work.

*Response required

5.1.9 Statement of Compliance*

A statement of compliance with all parts of this RFP or a listing of exceptions and suggested changes must be submitted in response to this RFP.

- Yes = No Exceptions: This response is in strict compliance with said RFP, including, but not limited to, the terms and conditions set forth in the Contract and its Attachments, and **no exceptions thereto are proposed.**
- No = Exceptions: If there are any proposed exceptions, This response shall proceed to upload a list of any and all exceptions in accordance with the instructions provided after "No" is selected.

Note: Respondents submitting exceptions to the County Model Contract listed in this RFP will receive a score of "0" for that criteria.

- ☐ Yes
☐ No

*Response required

When equals "No"

5.1.10 Attachment for each proposed exception must include:*

- A. The RFP page number and section of the provision Respondent is taking exception to;
- B. The complete provision Respondent is taking exception to;
- C. The Respondent's suggested rewording;
- D. Reason(s) for submitting the proposed exception; and
- E. Any impact the proposed exception may have on cost, scheduling, or other areas.

*Response required

5.1.11 Non-Collusion Declaration*

Each Respondent must execute the Non-Collusion Declaration contained in the solicitation and submit it with the Response.

The County of Orange may require that the Respondent, before awarding any subcontract, secure Non-Collusion Declarations from proposed Subcontractors. The County of Orange does not conduct business with Respondents who engage in the act of Collusion.

- [Non-Collusion Declaration.pdf](#)

*Response required

5.1.12 Non-Collusion Declaration - A*

Has your firm ever initiated discussions with competing consulting firms about the payment structure of an existing or potential future contract with the County of Orange?

If Respondent selects Yes answer, Respondent must provide a brief explanation below. A "Yes" answer may preclude you from moving forward in the solicitation Process.

- ☐ Yes
☐ No

*Response required

When equals "Yes"

5.1.13 Reason for Collusion:*

*Response required

5.1.14 Non-Collusion Declaration - B*

Has your firm participated in any discussions with competing firms in an effort to influence the payment structure for existing or potential County contracts?

Respondent must select one (1). A “Yes” answer may preclude you from moving forward in the solicitation Process.

☐ Yes

☐ No

*Response required

When equals "Yes"

5.1.15 Provide names of competing firms: *

*Response required

5.1.16 Litigation against County of Orange in the past seven (7) years*

Respondent must certify either Yes or No:

A. **(Yes)** Respondent certifies current/past litigation as follows:

1. Respondent shall provide detailed information regarding litigation (court and case number), liens, or claims involving Respondent, or any company that holds a controlling interest in Respondent, against County of Orange in the past seven (7) years.

B. **(No)** Respondent certifies that Respondent or any proposed subcontractors do not have any past or current litigation described above.

☐ Yes

☐ No

*Response required

When equals "Yes"

5.1.17 Respondent shall provide detailed information regarding litigation (court and case number), liens, or claims involving any proposed subcontractors, or any company that holds a controlling interest in subcontractor firm(s), against County of Orange in the past seven (7) years.*

*Response required

5.1.18 Name/Ownership Changes:*

Respondent must certify either Yes or No below:

A. **(Yes)** Respondent certifies past company name changes and/or ownership changes, for Respondent’s firm and any proposed subcontractor firm, as follows:

1. Respondent shall provide detailed information regarding any company name changes (including legal business names) in the past seven (7) years.

B. **(No)** Respondent certifies that Respondent or any proposed subcontractors have not had any company name change or ownership changes in the past seven (7) years.

- ☐ Yes
☐ No

*Response required

When equals "Yes"

5.1.19 Respondent shall provide detailed information regarding any company ownership changes (including legal business names) in the past seven (7) years. *

*Response required

5.1.20 County of Orange Campaign Contribution Disclosure*

The Levine Act compliance is a minimum submittal requirement of this solicitation.

Please complete and sign the County of Orange Campaign Contribution Disclosure Form attached hereto. A proposer's failure to provide a completed and signed copy may render its proposal as incomplete and nonresponsive.

It is the supplier's responsibility to update the County should any new reportable activity occur between initial disclosure and award.

- [Levine Act - Campaign Contr...](#)

*Response required

5.1.21 Political Reform Act Confirmation (Statement of Economic Interest - Form 700)*

Check the box to confirm - If subject to the Political Reform Act, Respondent shall conform to all requirements of the Act.

Failure to do so shall constitute a material breach and is grounds for immediate termination of the Contract by County. Respondent shall indemnify and hold harmless County for any and all claims for damages resulting from Respondent's violation of this Section.

- ☐ Please confirm

*Response required

5.1.22 Preference Policy - OCLSB/DVBE*

Orange County Local Small Business (OCLSB) or a Disabled Veteran Business Enterprise (DVBE)

To participate as an OCLSB and/or DVBE, the requirements in the [OCLSB AND DVBE PREFERENCE POLICIES CERTIFICATION REQUIREMENTS](#) must be met

- ☐ Yes
☐ No

*Response required

When equals "Yes"

5.1.23 OC Local Small Business (OCLSB) Preference And Disabled Veteran Business Enterprise (DVBE) Certifications*

Instructions are located here: <https://cpo.ocgov.com/doing-business-oc/preference-policies>

- [Local Small Business \(OCLSB...](#)

*Response required

5.1.24 Contract Signatures*

Upon recommendation of contract award, Respondent will be required to submit the Signed Contract within ten (10) days of County notification, unless otherwise specified in the RFP.

Selected Respondent will be required to sign a contract upon award. If selected Respondent is a corporation, signature will be provided in accordance with the corporation's code as specified in this solicitation.

☐ Please confirm

*Response required

5.1.25 I HAVE READ, UNDERSTOOD AND AGREE TO ALL STATEMENTS IN THIS REQUEST FOR PROPOSAL, AND TO THE TERMS, CONDITIONS AND ATTACHMENTS REFERENCED HEREIN*

☐ Please confirm

*Response required

5.2 PART 2: COMPANY PROFILE & REFERENCES

Some *required questions might not be applicable to your company. Do not leave any required fields blank. Please indicate "N/A" when necessary. A required field that is left blank will prohibit your response from being submitted.

*indicates that it is required.

5.2.1 Company Legal Name:*

*Response required

5.2.2 Company Legal Status (corporation, partnership, etc.):*

*Response required

5.2.3 Unique Entity Identifier Number (UEI) *

The County requires a valid UEI number with active registration status. Your company may obtain one at no cost at <https://sam.gov/entity-registration>.

*Response required

5.2.4 California Secretary of State registration - active and in good standing *

Please upload your active certificate from the California Secretary of State.

CA Sec. of State Verification Link = <https://bizfileonline.sos.ca.gov/search/business>

If a Sole Proprietor - upload a document stating "Not applicable as a Sole Proprietor"

*Response required

5.2.5 D-U-N-S Number*

Please provide your company's DUNS number.

The County requires a valid D-U-N-S number prior to Contract Award. If needed, your company may obtain one at no cost at www.dnb.com. If you are unable to provide/obtain a D-U-N-S number, please indicate so in lieu of the number.

*Response required

5.2.6 Federal Taxpayer ID Number:*

*Response required

5.2.7 California Department of Justice, Office of Attorney General active registration*

For Non-Profit and/or Charitable organizations, please provide your active (registration status is current). All others enter N/A.

- RCT Registration Number
- Renewal/Expiration Date

DOJ Verification Link - <https://rct.doj.ca.gov/Verification/Web/Search.aspx?facility=Y>

*Response required

5.2.8 DIR Registration No:*

Please enter your DIR (Department of Industrial Relations) Public Works Registration Number.

*Response required

5.2.9 DVBE Certification No:*

To be certified as a Disabled Veteran Business Enterprise by the County of Orange, a business shall meet (1) and (2) below:

- A. Must be certified as a DVBE by the State of California Department of General Services (DGS); and,
- B. DGS DVBE requirements must be valid at the time of bid/proposal submittal.

*Response required

5.2.10 SBA Certification No:*

Enter certification number

*Response required

5.2.11 Corporate Office Address*

What is the address of the company's corporate office? Please provide the full street/ mailing address.

*Response required

5.2.12 Local Business Address(es):*

Please provide the local business address for the company named above. If there are multiple local addresses then please provide all.

*Response required

5.2.13 Telephone Number:*

*Response required

5.2.14 Fax Number:*

*Response required

5.2.15 Email Address:*

*Response required

5.2.16 Length of time Respondent has been in business:*

*Response required

5.2.17 Length of time at current location:*

*Response required

5.2.18 Is your firm a sole proprietorship doing business under a different name?*

☐ Yes

☐ No

*Response required

When equals "Yes"

5.2.19 If yes, please indicate sole proprietor's name and the name you are doing business under:*

*Response required

5.2.20 Is Respondent incorporated?*

☐ Yes

☐ No

*Response required

When equals "Yes"

5.2.21 If yes, State of Incorporation:*

*Response required

When equals "Yes"

5.2.22 Contract Signature Authority - Executive Signature*

If incorporated, please provide the name, contact number, and email of the person who would provide this signature authority. The Executive Signer Authority comes from the President, Vice-President, or Chairperson of the Board.

- Contact Full Name:
- Contact Number:
- E-mail Address:

*Response required

When equals "Yes"

5.2.23 Contract Signature Authority - Financial Signature*

If incorporated, please provide the name, contact number, and email of the person who would provide this signature authority. The Financial Signer Authority comes from the Secretary, Assistant Secretary, Chief Financial Officer, or Treasurer.

- Contact Full Name:
- Contact Number:
- E-mail Address:

*Response required

When equals "No"

5.2.24 Contract Signature Authority*

If not incorporated, please provide the name, contact number, and email of the person who has the binding and signature authority of this contract.

- Contact Full Name:
- Contact Title:
- Contact Number:
- E-mail Address:

*Response required

5.2.25 Regular business hours:*

*Response required

5.2.26 Regular holidays observed:*

*Response required

5.2.27 Contact person in reference to this RFP:*

Please include the following information in this response and note that this person is responsible for monitoring and responding to all communications for this solicitation:

- A. Contact Person Full Name:
- B. Telephone Number:
- C. Fax Number:
- D. Email Address:
- E. Mobile Number:

*Response required

5.2.28 Contact person for Accounts Payable:*

Please include the following information in this response:

- A. Contact Person Full Name:
- B. Telephone Number:
- C. Fax Number:
- D. Email Address:
- E. Mobile Number:

*Response required

5.2.29 Contact person for Project Manager:*

Please include the following information in this response:

- A. Contact Person Full Name:
- B. Telephone Number:
- C. Fax Number:
- D. Email Address:
- E. Mobile Number:

*Response required

5.2.30 Company Emergency Contact*

State the following for the emergency contact person in reference to this services:

- Full Name (First and Last) of contact during non-business hours
- Telephone Number (including area code)
- Fax Number (including area code), if applicable

- Cell Phone Number (if different than regular phone)
- Full Email Address

*Response required

5.2.31 References*

Respondent must demonstrate successful prior performance of **comparable** services in the public sector arena and provide a minimum five (5) references with three (3) references from public sector entities and clients that are comparable to the County of Orange for which these types of services have been performed within the past five (5) years. **References cannot be from one of the County of Orange departments or from more than one of the same entity.**

Please provide **letters of reference** that include the following information for each of the five references:

- Company Name
- Contact Name
- Email
- Telephone number
- Address
- Contract Effective Dates
- Contract Amount
- Brief Contract Description

*Response required

5.3 PART 3: RESPONDENT'S RESPONSE

This section shall not exceed the page limit. No Exceptions.

Note: Responses that exceed the page limit noted above will be disqualified from further consideration.

5.3.1 Respondent's Response*

Firm's Background, Qualifications & Experience: 150 Points Possible

A. List any local government agencies or other entities and number of years' experience for which the Proposer has provided the same or similar services to system involved youth and families.

B. Describe experience and number of years providing evidence-based programming that targets the reduction of criminogenic risk factors in youth and what programs or intervention techniques are used.

C. Describe current and previous contracts Proposer has successfully implemented related to the reduction of criminogenic risk factors in system involved youth and supportive case planning services for relative families or caregivers.

Staffing: 100 Points Possible

At a minimum, Proposer shall:

A. Discuss how the Proposer plans to staff and manage operations to support the provision of program services for the Probation ARRIVE program.

B. List names and classification/title, licenses/certifications (if any) of prime and alternate staff which shall include program manager and key personnel who will be assigned to this Contract. Identify the FTE (full-time equivalent) for each staff.

C. Describe how your organization will recruit, hire and train culturally appropriate/relevant staff members.

D. Provide information as to which staff members will be required to be bilingual and what positions or services they will provide.

E. Provide an organizational chart that reflects the name, classification, title, and licenses/certifications, if applicable, of all key staff and management contacts assigned to provide the services detailed in Proposer's proposal. This organizational chart should include both project implementation personnel and personnel who will provide services to the County. Include locations for staff, including administrative and support, and the number of employees.

F. Provide resumes of the program manager and key personnel who will be assigned to this Contract. Resumes shall contain information relating to each person's education, experience and training. Resumes should not include residence address, personal telephone number, or personal cell number since they may become public documents.

G. Provide concise job descriptions for each position that will be allocated to this program, and include minimum qualifications, education and/or experience requirements, multicultural/multilingual capabilities, duties, and responsibilities.

H. Describe the supervisory and administrative structure that the program will use to ensure that the services are of high quality. Include how to address issues of client and staff safety, maintenance of appropriate ethical boundaries, and conflict resolution that may arise in the program.

I. Describe administrative procedures for monitoring quality assurance, client satisfaction, and review of outcomes, as defined by the bidder and the county that will lead to improved service delivery and program design. Describe how adherence to the program services of engagement, planning, and transition will be routinely assured and improved upon.

J. Describe how staff will be routinely supervised and how performance will be evaluated and improved. Lastly, identify potential challenges to this task and how they will be addressed.

Proposed Services: 150 Points Possible

A. Proposal shall state the Proposer's specific capabilities, approaches, proposed services, and demonstrate a clear understanding of the nature of the work to be performed under the proposed Model Contract and its relation to the County's needs; in particular, the Proposer's ability to meet all requirements specified in Model Contract, entitled "Scope of Work."

B. Describe how the proposed program will interface with the Probation Department, youth, parents/caregivers and other parties in engaging referred clients.

C. Describe in detail how the proposed program will develop and implement a client centered, trauma informed case plan and how specific goals and objectives will be identified and achieved.

D. Describe in detail how the proposed program will provide community-based, individual and group programming. Also describe how the proposed services are based in best practices that are shown to reduce criminogenic risk factors in youth.

E. Describe how program staff will respond to a need for emergency evaluation or crisis intervention when needed.

F. Describe how the program will provide services that are culturally appropriate and linguistically capable.

G. Describe how the program will facilitate/provide supportive services for parents and/or caregivers.

H. Describe what community resources the program has access to that the clients and their families can utilize as a bridge to independence. Include whether these resources are achieved through a direct partnership/agreement with provider, through linkage to program contacts, or through referrals based on a list of resources available to the public.

Outcomes: 75 Points Possible

A. Describe how the program will conduct a self-evaluation to measure its effectiveness. Provide descriptions of productivity of similar programs your organization has managed in the past; current published or unpublished studies of outcome or processes that have been conducted in similar programs managed; certifications of similar programs; and/or relevant awards received by your organization or program staff for similar services.

B. Develop and include as Program Outcomes and Measurements Report(s) a draft table, chart, spreadsheet, etc. showing what type of information your organization would gather to measure the success of the proposed program(s).

Compliance of the County Model Contract: 25 Points Possible

A. The proposal fully complies with the County Model contract.

***Note: Respondents submitting exceptions to the County Model Contract attached to this RFP may receive a score of “0” for that criterion**

*Response required

5.3.2 Proposed Staffing/Key Personnel Plan*

Respondent shall use County form provided, otherwise all other pages used for this section will be counted against Respondent’s page limit.

- [Key Personnel-Staffing Plan...](#)
- [Key Personnel-Staffing Plan...](#)

*Response required

5.3.3 Certification Regarding Debarment*

Please download the below documents, complete, and upload.

- [CERTIFICATION REGARDING DEB...](#)

*Response required

5.3.4 Additional Information (Optional)

Any pages provided in this section will be counted against the Respondents page limit.

Respondent may provide any additional information or documentation that demonstrates their ability to perform services. Please do not upload any information already provided in any previous responses.

SECTION 6. COST PROPOSAL

SALARIES & BENEFITS - YEAR 1 COSTS

Line Item	Description	Unit of Measure	Unit Cost
1	Professional Staff #1 - Year 1 Cost	Year	
2	Professional Staff #2 - Year 1 Cost	Year	
3	Professional Staff #3 - Year 1 Cost	Year	
4	Professional Staff #4 - Year 1 Cost	Year	

Line Item	Description	Unit of Measure	Unit Cost
5	Professional Staff #5 - Year 1 Cost	Year	
6	Benefits - Year 1 Cost	Year	

SERVICES AND SUPPLIES - YEAR 1 COSTS

Breakdown of all Services and Supplies

Line Item	Services and Supplies Description	Unit of Measure	Unit Cost
1	List any Services and Supplies - Year 1 Cost	Year	
2	List any Services and Supplies - Year 1 Cost	Year	
3	List any Services and Supplies - Year 1 Cost	Year	
4	List any Services and Supplies - Year 1 Cost	Year	
5	List any Services and Supplies - Year 1 Cost	Year	
6	List any Services and Supplies - Year 1 Cost	Year	

SALARIES & BENEFITS - YEAR 2 COSTS

Line Item	Description	Unit of Measure	Unit Cost
1	Professional Staff #1 - Year 2 Cost	Year	
2	Professional Staff #2 - Year 2 Cost	Year	
3	Professional Staff #3 - Year 2 Cost	Year	
4	Professional Staff #4 - Year 2 Cost	Year	
5	Professional Staff #5 - Year 2 Cost	Year	
6	Benefits - Year 2 Cost	Year	

SERVICES AND SUPPLIES - YEAR 2 COSTS

Breakdown of all Services and Supplies

Line Item	Services and Supplies Description	Unit of Measure	Unit Cost
1	List any Services and Supplies - Year 2 Cost	Year	
2	List any Services and Supplies - Year 2 Cost	Year	
3	List any Services and Supplies - Year 2 Cost	Year	
4	List any Services and Supplies - Year 2 Cost	Year	
5	List any Services and Supplies - Year 2 Cost	Year	
6	List any Services and Supplies - Year 2 Cost	Year	

SALARIES & BENEFTITS - YEAR 3 COSTS

Line Item	Description	Unit of Measure	Unit Cost
1	Professional Staff #1 - Year 3 Cost	Year	
2	Professional Staff #2 - Year 3 Cost	Year	
3	Professional Staff #3 - Year 3 Cost	Year	
4	Professional Staff #4 - Year 3 Cost	Year	
5	Professional Staff #5 - Year 3 Cost	Year	
6	Benefits - Year 3 Cost	Year	

SERVICES AND SUPPLIES - YEAR 3 COSTS

Breakdown of all Services and Supplies

Line Item	Services and Supplies Description	Unit of Measure	Unit Cost
1	List any Services and Supplies - Year 3 Cost	Year	
2	List any Services and Supplies - Year 3 Cost	Year	
3	List any Services and Supplies - Year 3 Cost	Year	
4	List any Services and Supplies - Year 3 Cost	Year	

Line Item	Services and Supplies Description	Unit of Measure	Unit Cost
5	List any Services and Supplies - Year 3 Cost	Year	
6	List any Services and Supplies - Year 3 Cost	Year	

SALARIES & BENEFITS - YEAR 4 COSTS

Line Item	Description	Unit of Measure	Unit Cost
1	Professional Staff #1 - Year 4 Cost	Year	
2	Professional Staff #2 - Year 4 Cost	Year	
3	Professional Staff #3 - Year 4 Cost	Year	
4	Professional Staff #4 - Year 4 Cost	Year	
5	Professional Staff #5 - Year 4 Cost	Year	
6	Benefits - Year 4 Cost	Year	

SERVICES AND SUPPLIES - YEAR 4 COSTS

Breakdown of all Services and Supplies

Line Item	Services and Supplies Description	Unit of Measure	Unit Cost
1	List any Services and Supplies - Year 4 Cost	Year	
2	List any Services and Supplies - Year 4 Cost	Year	
3	List any Services and Supplies - Year 4 Cost	Year	
4	List any Services and Supplies - Year 4 Cost	Year	
5	List any Services and Supplies - Year 4 Cost	Year	
6	List any Services and Supplies - Year 4 Cost	Year	

SALARIES & BENEFITS - YEAR 5 COSTS

Line Item	Description	Unit of Measure	Unit Cost
1	Professional Staff #1 - Year 5 Cost	Year	
2	Professional Staff #2 - Year 5 Cost	Year	
3	Professional Staff #3 - Year 5 Cost	Year	
4	Professional Staff #4 - Year 5 Cost	Year	
5	Professional Staff #5 - Year 5 Cost	Year	
6	Benefits - Year 5 Cost	Year	

SERVICES AND SUPPLIES - YEAR 5 COSTS

Breakdown of all Services and Supplies

Line Item	Services and Supplies Description	Unit of Measure	Unit Cost
1	List any Services and Supplies - Year 5 Cost	Year	
2	List any Services and Supplies - Year 5 Cost	Year	
3	List any Services and Supplies - Year 5 Cost	Year	
4	List any Services and Supplies - Year 5 Cost	Year	
5	List any Services and Supplies - Year 5 Cost	Year	
6	List any Services and Supplies - Year 5 Cost	Year	

SECTION 7. OCLSB AND DVBE PREFERENCE POLICIES CERTIFICATION REQUIREMENTS

County of Orange Local Small Business (OCLSB) Preference and Disabled Veteran Business Enterprise (DVBE) Preference Policies Certification Requirements

7.1 OCLSB:

Effective January 1, 2020, County of Orange Board of Supervisors adopted the OCLSB Preference policy. Implementation of the OCLSB Preference policy supports local businesses, the local economy and the development of the County's tax base.

To be certified as a Local Small Business by the County of Orange, a business shall meet (1) and (2) below:

A. Local Business Requirements:

1. Maintains their principal center of operations (i.e. headquarters) within Orange County, and;
2. Has:
 - a. A business address located in the County of Orange that is not a post office box, or
 - b. A valid business license or certificate of occupancy issued by the County of Orange or by an Orange County city, or other documentation acceptable to the County of Orange.

B. Small Business Requirements:

1. Must be certified as a Small Business by the [State of California Department of General Services \(DGS\)](#); and,

C. Above requirements must be valid at the time of proposal submittal through the time of award of the contract. The terms and conditions of the contract shall additionally require the contractor to remain in compliance with the Local Business requirements (pursuant to subsection (a) above) through the term of the contract, including any extensions or renewals, and shall provide that contractor's failure to comply may be construed by County as a material breach of the contract.

7.2 DVBE:

Effective January 1, 2021, County of Orange Board of Supervisors adopted the DVBE Preference policy. The DVBE Preference policy supports local business opportunity, economy and the development of County's tax base, and in addition recognizes the service and sacrifice given by the men and women of our Armed Forces.

To be certified as a Disabled Veteran Business Enterprise by the County of Orange, a business shall meet (A) and (B) below:

- A. Must be certified as a DVBE by the [State of California Department of General Services \(DGS\)](#); and,
- B. DGS DVBE requirements must be valid at the time of bid/proposal submittal through the time of award of the contract. The terms and conditions of the contract shall additionally require the contractor to remain in compliance with the DVBE requirements (pursuant to subsection (a) above) through the term of the contract, including any extensions or renewals, and shall provide that the contractor's failure to comply may be construed by the County as a material breach of the contract.

To participate as an OCLSB and/or DVBE please read and follow the process outlined in **COUNTY OF ORANGE LOCAL SMALL BUSINESS (OCLSB) AND DISABLED VETERAN BUSINESS ENTERPRISE (DVBE) CERTIFICATION REQUIREMENTS** in the SUPPLIER RESPONSES.

***NOTE: DVBE PREFERENCE IS NOT APPLICABLE TO PUBLIC WORKS OR A-E CONSTRUCTION PROJECTS.**

7.3 OCLSB/DVBE CERTIFICATION REQUIREMENTS:

County Of Orange Local Small Business (OCLSB) Preference And Disabled Veteran Business Enterprise (DVBE) Certification Requirements

A. To participate as an OCLSB and/or DVBE the following requirements must be met:

1. Must be certified with [State of California the Department of General Services \(DGS\) as a Small Business](#).
2. Must be certified with DGS as an OCLSB and/or DVBE.
3. Maintains their principal center of operations (i.e. headquarters) within Orange County.
4. Certification must be valid at the date/time solicitation is closed, and it shall remain in effect at the time of contract award and through the term of the contract. County reserves the right to verify and/or reject incomplete documents.
5. Complete and sign the Affirmation form attached herein. The signed Affirmation form and the OCLSB Certificate are required and must be returned with the solicitation response. Verify with County: Once a firm becomes certified by the DGS as a small business, the firm shall access the County's [OCLSB verification portal](#) to search for their company legal name in the OCLSB verification database.

B. OCLSB or DVBE Preference provides for the following:

1. Invitation for Bid – IFB

A five percent (5%) deduction in the original bid price shall be applied to all confirmed OCLSB or DVBE bidders for evaluation purposes. If the lowest bidder is a certified OCLSB or DVBE bidder, the contract will be awarded at the certified OCLSB or DVBE's original bid price. The maximum allowable preference deduction is \$100,000.

2. Request for Proposal - RFP

- a. No Cost - Any Request for Proposals that does not include cost as a scoring criteria shall instead apply the five percent (5%) or eight percent (8%) preference percentage pursuant to the eligibility requirements above to a scoring criteria in the RFP that makes up no more than twenty percent (20%) of the total RFP.

- b. With Cost - An additional five percent (5%) shall be applied to the total cost of each certified OCLSB or DVBE to obtain their final score. If the final score of any OCLSB or DVBE matches the final score of a respondent who is not an OCLSB or DVBE, preference shall be given to the certified OCLSB or DVBE. If two or more OCLSBs and/or DVBEs have the same final score, the County shall determine the contract award based on the County's best interests. Notwithstanding these preference procedures, the Orange County Board of Supervisors reserves the right to award contracts in any other permissible manner in consideration of the County's best interests.

C. Dual OCLSB and DVBE Preference provides for the following:

1. Business Certified as OCLSB and DVBE

If a State-certified OCLSB is also a State-certified DVBE, the preference given to that business shall be 8% instead of 5%.

D. Subcontractors

If bidder is submitting subcontractors to qualify for the OCLSB or DVBE preference, bidder must demonstrate through the Staffing Plan that 20% of the total bid amount is allocated to the OCLSB and/or DVBE subcontractor(s).

***NOTE: DVBE PREFERENCE IS NOT APPLICABLE TO PUBLIC WORKS OR A-E CONSTRUCTION PROJECTS.**